

Memo for Brokers

No. 04-1213

For

: All Clearing Members - the Associated Person

Date

: 27 December 2013

Subject

: Update of Clearing Member Information Form

Please be reminded that all Clearing Members are required to submit to SCCP the Clearing Member Information Form (CMIF) annually. For the year 2013, kindly accomplish the attached CMIF and submit the original notarized copy to SCCP on or before 15 January 2014.

Should you have further queries on the above matter, please do not hesitate to contact any of the following:

Adis A. Sevilla Ronald P. Pendon

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Thank you.

Renee D. Rubio

Chief Operating Officer